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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/458,322

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STEPHEN J. ZACK

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11/16/2006

PATTERSON & SHERIDAN, LLP/  
SEDNA PATENT SERVICES, LLC  
595 SHREWSBURY AVENUE  
SUITE 100  
SHREWSBURY, NJ 07702

EXAMINER

HUYNH, SON P

ART UNIT

PAPER NUMBER

2623

DATE MAILED: 11/16/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/458,322

Applicant(s)

ZACK ET AL.

Examiner

Son P. Huynh

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE \_\_\_\_\_ MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on 08 September 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 32-44 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 32-44 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 9/8/2006 has been entered.

### ***Response to Arguments***

2. Applicant's arguments with respect to claims 32-44 have been considered but are moot in view of the new ground(s) of rejection.

In response to Applicant's argument that Mao in view of Wu does not teach or suggest new added feature of "a transport processor coupled to the multiplex switch for receiving an output stream and transmitting to the multiplex switch reverse data channel information received via a reverse data channel" in claims 32 and 40 (page 8, paragraphs 1-4), the Examiner relies on O' Loughlin et al. (US 6,185,635) for the teaching of this limitation.

Claims 1-31 have been canceled.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 32-44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mao et al. (US 6,886,178) in view of Wu et al. (US 6,594,271) and further in view of O'Loughlin et al (US 6,185,635).

Regarding claim 32, Mao teaches an information distribution system comprising server equipment (programmer 24 and headend 10 – figure 1) for providing both content and non-content data (video data and webpage and information data) to subscriber equipment (set top 38, TV 40, remote control 36), the server equipment comprising:

a multiplex switch (MPEG remux 14, proxy server 22, computer 32 – figure 1) for multiplexing a plurality of formatted content data from server modules to produce an output stream that is adapted for transport via a communication channel (MPEG remux 14 in combination with proxy server and computer 32 for multiplexing a plurality of encoded video data from VTR, camera, external network via encoder 12 and transcoder

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20 to produce an output stream that is adapt for transport via communication channel of network 34 – figure 1; col. 6, lines 15-59);

Mao also discloses formatting HTML web pages and control map into MPEG data packets – col. 25, lines 49-62; col. 6, line 60-col. 7, line 40, figure 2. Inherently, the multiplexing comprises a converter module for formatting non-content data so that the HTML web page and control map is converted into MPEG data packet;

Mao also discloses computer 32 generates the control map, the HTML pages and the URLs for insertion into the industry standard transport layer of MPEG-2 protocol – col. 6, lines 60-64. Inherently, a switching module is used for selectively multiplexing formatted non-content data (web page, information) into the output stream so that the control map, HTML page, URL is selected/generated for insertion into the MPEG-2 protocol.

Mao further discloses a digital QAM modulator upconverter 18 coupled to the remux, computer, and a proxy server for receiving the output from the remux, computer and server (figure 1). However, Mao does not specifically disclose multiplexing of content streams is statistically performed, and wherein the multiplexing of formatted non-content data is on a future bandwidth availability basis that is predicted based on the multiplexing of the formatted content streams; a transport processor coupled to multiplexer switch for transmitting to the multiplexer switch reverse data channel information received via a reverse data channel.

Wu discloses the bandwidth allocation is assigned to Opportunistic Data Processor (ODP) for providing formatted opportunistic data **after** the bandwidth for encoded source data is calculated and available/spare bandwidth is determined. The ODP provides formatted opportunistic data for multiplexing only **after** the available/spare bandwidth is determined and bandwidth allocation for formatted opportunistic data is received. The TSPs also provide encoded source data for multiplexing **after** bandwidth allocations are assigned (col. 2, lines 13-38; col. 4, lines 38-47, col. 5, lines 10-52, col. 6, lines 17-27). Therefore, the claimed feature "multiplexing of content streams is statistically performed" is interpreted as multiplexing of opportunistic data only when total bandwidth used for encoded video streams by all the TSP drops below threshold – see including, but are not limited to, col. 4, lines 40-47; col. 5, lines 14-67; col. 6, line 17-26; col. 6, line 55-col. 46); the claimed feature "selectively multiplexing of formatted non-content data is on a future bandwidth availability basis that is predicted based on the multiplexing of the formatted content streams" is interpreted as selectively multiplexing formatted opportunistic data into the bandwidth allocation for later multiplexing the formatted opportunistic data into the stream that is predicted/determined and then allocated for formatted opportunistic data after calculating the bandwidth for encoded source data and spare/available bandwidth.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Mao to use the teaching as taught by Wu in order to maximize bandwidth utilization and provide cost saving and minimizes disruption to the existing encoders in the field (col. 3, lines 59-61). However, Mao in view of Wu does not

specifically disclose a transport processor coupled to multiplexer switch for transmitting to the multiplexer switch reverse data channel information received via a reverse data channel.

O'Loughlin discloses data transport system 12 coupled to bi-directional multiplexors 18, 24, 26 for receiving the output content from bi-directional multiplexors 18,24,26 and for transmitting to the bi-directional multiplexors 18,24,26 reverse channel information received via data transport system 14,16,multiplexors 20,22,28 (see include, but not limited to, figures 1-5, col. 6, line 52-col. 9, line 54). The claimed feature "multiplex switch comprises a converter module for formatting non-content data and a switching module for selectively multiplexing formatted non-content data" is alternatively interpreted as multiplexors 18,24, 26 comprises lower speed/channel segment converter 18, 24 and selectively multiplexing data received from the converter 18, 24 (figures 1-5, col. 6, line 52-col. 9, line 54). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Mao in view of Wu to use the teaching as taught by O'Loughlin in order that the service providers can their customer with greater flexibility (col. 5, lines 55-61), or to improve efficiency in data transmission system.

Regarding claim 33, Mao in view of Wu teaches the system as discussed in the rejection of claim 32. Mao further teaches the multiplex switch includes a buffer (i.e. proxy 22) for storing non-content data (col. 25, lines 45-48) and a switch controller (i.e.

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computer 32) for insertion of control map and web pages into the industry standard transport layer of the MPEG-2 protocol (col. 6, lines 60-64). Wu also discloses buffer 162 for buffering the opportunistic data (figure 1) and a switch controller (QLP 130) for determining a bandwidth utilization level of the multiplex switch, the switch controller further for causing at least a portion of the non-content data in the buffer to be multiplexed into the output stream when the bandwidth utilization level falls below a threshold utilization bandwidth level (the QLP 130 causes the opportunistic data from buffer 162 to be multiplexed into the stream when the bandwidth utilization level drops below a threshold utilization bandwidth level – including, but are not limited to, col. 4, lines 40-47; col. 5, lines 14-67; col. 6, line 17-26; col. 6, line 55-col. 46). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Mao to use the teaching as taught by Wu in order to maximize bandwidth utilization and provide cost saving and minimizes disruption to the existing encoders in the field (col. 3, lines 59-61).

Regarding claim 34, Wu further discloses the threshold bandwidth utilization level comprises a utilization level sufficient to process a single time extent (i.e. service interval for a portion of opportunistic data). Wu further discloses digital audio and video data or any other digital data in MPEG-2 standard – col. 4, lines 6-26, lines 37-40). Thus, the content streams are inherently divided into a plurality of respective time extents (time interval corresponds to a portion/segment of the digital data).



Regarding claim 35, Wu further discloses the content streams contain digital data in MPEG-2 standard (col. 4, lines 6-26 and lines 37-40). Thus, the content streams is inherently divided into a plurality of respect time extents (time interval associated with each segment/portion of the MPEG-2 stream). Wu further discloses each TSP, including ODP, send statistical information to the QLP 130, including e.g., a “need parameter” that indicates the bandwidth need of the TSP..., the QLP calculates the total bandwidth and allocates the available bandwidth to each individual TSP in proportion to the TSP’s need parameter. Each TSP sends packets to the multiplexer according to the bandwidth allocation (col. 5, line 10-col. 6, line 27). Since the need parameter and amount of bandwidth allocated to the TSP and the ODP are provided, the predetermined number of time extents (number of packets which associated with a time interval) are multiplexed into the output stream (for example, no packets of opportunistic data is multiplexed into the stream if bandwidth allocated for ODP is zero – col. 6, lines 17-26).

Regarding claim 36, Mao further teaches the non-content data comprises control data (i.e. control information in control map) and non-control data (i.e. web pages, URL), and the multiplex switch preferentially multiplexes the non-content control data (figures 1-4, 7; col. 6, lines 26-64; col. 7, lines 1-67).

Regarding claim 37, Mao further teaches the non-content data comprises control data (i.e. control information in control map) and non-control data (i.e., web page, URL), and

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the multiplex switch preferentially multiplexes the control data (figures 1-4, 7; col. 6, lines 26-64; col. 7, lines 1-67).

Regarding claim 38, Mao further teaches the content data includes MPEG data (col. 6, lines 25-67).

Regarding claim 39, Mao further teaches the non-content data includes Internet protocol data (HTML web page, URL, figures 1-5, col. 6, lines 26-64, col. 7, lines 1-67).

Regarding claim 40, the limitations of the method as claimed that correspond to the limitations of the system as claimed in claim 32 are analyzed as discussed with respect to the rejection of claim 32.

Regarding claim 41, Wu further teaches storing non-content data until bandwidth availability enables multiplexing of the stored non-content data (storing opportunistic data in buffer 162 until TSPs do not need all available bandwidth of the transport stream – figure 1; col. 5, lines 1-19).

Regarding claims 42-44, the limitations as claimed correspond to the limitations as claimed in claims 35, 38-39, and are analyzed as discussed with respect to the rejection of claims 35, 38-39.

### ***Conclusion***

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Laursen et al. (US 5,805,804) discloses method and apparatus for scalable, high bandwidth storage retrieval and transportation of multimedia data on a network.

Huang et al. (US 6,483,846) discloses middleware-based real time communication system.

Rao (US 5,940,738) discloses video pedestal network.

Graves et al. (US 6,229,788) discloses method and apparatus for traffic shaping in a broadband fiber based access system.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Son P. Huynh whose telephone number is 571-272-7295. The examiner can normally be reached on 9:00 - 6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher S. Kelley can be reached on 571-272-7331. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Son P. Huynh

October 8, 2006

A handwritten signature in black ink, appearing to be 'Son P. Huynh', with a long horizontal line extending from the bottom of the signature.